

08-21-01

A

PATENT APPLICATION TRANSMITTAL LETTER

08/20/01  
1131 U.S. PTO

Assistant Commissioner of Patents  
Washington, D.C. 20231

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August 20, 2001

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Sir:

Transmitted herewith for filing is the patent application of: Daniel J. Eckert, Ross J. Eckert,  
Todd O. Perry, and  
Christopher W. Claus

The applicant hereby claims small entity status under 37 C.F.R. § 1.9(c),(f).

For: **A METHOD OF MANAGING RISK IN A SECURITY BASED ON THE  
INCOME OF A PERFORMER**

Attorney Docket No.: 1713-0014

Enclosed are:

- \* Patent Application - 49 pages of specification including 1 page of claims
- \* 1 page Abstract
- \* 5 sheets of Informal Drawings
- \* One return post card

Please address correspondence to:

Harold C. Moore  
Maginot, Addison & Moore  
Bank One Center/Tower  
111 Monument Circle, Suite 3000  
Indianapolis, Indiana 46204-5115

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CLAIMS AS FILED

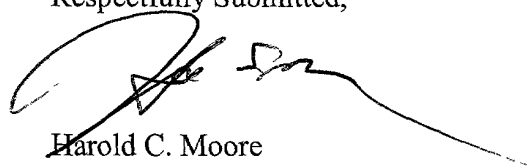
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For	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$355.00
Total Claims	2- 20	0	\$ 9.00	\$0.00
Independent Claims	1- 3	0	\$ 40.00	\$0.00
Multiple Dependent Claims	any		\$ 135.00	\$0.00
Subtotal				\$0.00
Total Filing Fee				\$355.00

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Payment of the filing fee is deferred at this time.

Respectfully Submitted,



Harold C. Moore  
Registration No. 37,892

August 20, 2001